



CONFERENCE AGENDA

SUNDAY, MARCH 2, 2008

2:00-4:00 PM

FIDUCIARY GOVERNANCE WORKSHOP (LIMITED TO PLAN SPONSORS)

As fiduciaries, you are expected to establish, adopt and execute a comprehensive system of controls that assures a prudent substantive process for managing your retirement plan. This two-hour interactive workshop is designed to walk you through fiduciary best practices and pitfalls, and make you a more informed and more effective plan committee member.

Moderator:

Stephen Lansing

CLU, CIMC, CEBS
Director of Consulting
The Bogdahn Group

Marla Kreindler

Partner
Winston & Strawn LLP

Lew Minsky

Senior Attorney
Florida Power & Light Company

Ted Siedle

Founder & President
The Benchmark Companies

Bruce B. Curwood, MBA CFA CIMA

Director Investment Strategy
Russell Investments Canada

5:00-6:30

COCKTAIL RECEPTION HOSTED BY: MANAGERS INVESTMENT GROUP

7:30-8:45 AM **CONFERENCE REGISTRATION & NETWORKING BREAKFAST
HOSTED BY: BARCLAYS GLOBAL INVESTORS**

8:45- 9:00 **WELCOME**

Nancy Webman
Editor
Pensions & Investments

CHAIRMAN'S OPENING REMARKS

Michael Riak
Director, Savings & Affiliate Plans
Verizon Investment Management Corp.

9:00-10:00 **FEES, COVERAGE AND ELECTION REPERCUSSIONS: DEFINED
CONTRIBUTION PLAN POLICY TODAY AND TOMMOROW**

Jamey Delaplane will address the latest legislative and regulatory developments regarding defined contribution plan fee disclosure and other key policy issues facing DC plan sponsors.

Jamey will discuss the implications of the Department of Labor's regulatory issuances and whether they will prevent enactment of the various related bills introduced in Congress. He will also describe the increasing momentum behind proposals to provide savings opportunities to individuals who do not have employer plan coverage and the repercussions of such proposals for plan sponsors. Additionally, Jamey will recap the latest issuances from the regulatory agencies and will give us a look forward to DC plan policy and the 2008 elections.

James M. Delaplane, Jr.
Partner, Benefits Group
Davis & Harman LLP

10:00-10:30 **NETWORKING BREAK
HOSTED BY: CAPITAL GUARDIAN**

10:30-11:30 **QDIAs PART A: ANALYZING AND SELECTING THE APPROPRIATE
DEFAULT**

In this session, some of the leading providers of managed accounts, target date, lifecycle and balanced funds will educate you on the advantages/disadvantages of each.

- Risk Based vs. Age Based
- Passive vs. Active
- Proprietary vs. Non-proprietary
- 5-year vs. 10-year Increments
- Glide path strategies: differences from provider to provider

Moderator:
Gerald B. Goldberg, CIMA
Managing Director- Investment Officer
Senior Institutional Consultant
Wachovia Securities, LLC

Anne Lester
Managing Director,
Senior Portfolio Manager, SmartRetirement Funds
JPMorgan Asset Management

Jeff Saef, CFA
Executive Vice President, Institutional Investment Strategies
Pyramis Global Advisors

Tom Idzorek
Vice President, Director of Research and Product Development
Ibbotson Associates

Nicholas B. Thompson
Vice President and Client Portfolio Manager
JanusINTECH Institutional Asset Management

11:30-12:30

QDIAs PART B: ADJUST, ADOPT AND IMPLEMENT

Employers will explain the procedures they followed to add QDIAs to their respective plans.

Moderator:
John Sturiale
Director-Retirement Investment Strategies
Charles Schwab

Scott Cohen
Partner
Bellwether Consulting

Linda Garcia
Vice President of Human Resources
Rooms To Go, Inc.

Deborah R. Macchia
Senior Manager, Corporate Benefits
Hitachi America, Ltd.

Gail A. Nichols, CEBS
Director, Compensation, Benefits & HR Systems
NCCI Holdings, Inc.

12:30-2:30

P&I EDDY AWARDS LUNCHEON

BREAK-OUT SESSIONS:

SESSION A:
2:30-3:30

REDESIGNING DC PLANS THROUGH THE AUTO 401(k)

The automatic 401(k) could very well be the strongest tool sponsors have to help their employees save and invest for retirement. But how should sponsors approach choosing which automatic features to implement? What about costs to the employer? Also, how broadly should sponsors seek to implement automatic features in 401(k) plans? This session will look at the advantages for your workforce, and explore the administrative issues that must be considered when implementing the automatic 401(k).

Moderator:
Jeff Maggioncalda
President & CEO
Financial Engines

Frank Allen
Financial Planner, Office of Staff Services
Mayo Clinic

Mary Ann Langevin
DC Business Leader
Mercer

SESSION B:
2:30-3:30

BUILDING THE IDEAL CORE MENU: WHAT'S THE MAGIC NUMBER?

At a time when there's a lot of attention being focused on QDIAs, many employers are trying to rationalize reducing the number of options in their line-up. This session will explore how to better construct the line-up to empower participants with DB-like investment strategies that provide proper diversification and a platform for producing good performance.

Moderator:
David Katz
Partner
Rocaton Investments Advisors, LLC

Donna Wilson
Partner & Head of Product Management,
Invesco Structured Product Group
Invesco

MacKenzie Hurd, CFA
Vice President, Defined Contribution Specialist
Capital Guardian

Joe Masterson
Senior Vice President
Diversified Investment Advisors

John Streur
Senior Managing Partner
Managers Investment Group

3:30-4:00

**NETWORKING BREAK
HOSTED BY: CAPITAL GUARDIAN**

BREAK-OUT SESSIONS:

SESSION A:

4:00-5:00

THE DECUMULATION PHASE: ENSURING PARTICIPANT BALANCES LAST A LIFETIME

Longevity risk is a growing concern. Future retirees face not only the challenge of saving enough for retirement, but also the risk of outliving their savings. This panel will discuss the issues around accumulation and decumulation and then provide insight into some of the innovative

solutions designed to help participants do a better job at creating an income stream to support them through retirement.

- Understanding the problem: risks and challenges of investor inertia and confusion around annuitized solutions
- In-plan vs. out of plan solutions
- Price and portability concerns

Moderator:

Marc Pester

Senior Vice President
Prudential Retirement

Dan Campbell

Managing Director, Retirement Guarantee Network
Milliman, Inc.

Fred Conley

President, Institutional Retirement Income Group
Genworth Financial

Tom Fontaine

Senior Portfolio Manager and Director of Research-Blend Strategies
AllianceBernstein

Fredrik Axsater, CFA

Head of Defined Contribution Sales and Investment Strategy
Barclays Global Investors

SESSION B:

4:00-5:00

INNOVATIVE TARGET DATE AND LIFECYCLE INVESTMENT STRATEGIES

As asset allocation funds are moving from being an add-on to becoming the core of defined contribution plans, plan sponsors and providers are finding innovative ways to improve the effectiveness of these investment vehicles. From adding diversified sources of return to new ways of thinking about appropriate target date fund glide paths, this session will delve into some of the ways asset allocation funds can continue to be enhanced so participants can better meet their retirement goals. Constraints specific to DC plans that could pose challenges to implementing and effectively utilizing these new strategies will also be discussed.

Moderator:

Joshua R. Cohen

Senior Consultant
Russell Investments

Cleo C. Chang

Vice President
Wilshire Associates

Matthew Appelstein, CFA, CPA

SVP, Product Strategy and Retirement Solution Planning
Old Mutual Investment Partners

Captain Marty Torres
Chairman
United Airlines Pilot Directed Account Plan

Ralph P. Goldsticker, III, CFA
Managing Director
BNY Mellon

5:00-6:30 **COCKTAIL RECEPTION**
HOSTED BY: JPMORGAN

TUESDAY, MARCH 4, 2008

7:30-8:45 AM **CONFERENCE REGISTRATION & NETWORKING BREAKFAST WITH EXHIBITORS**

8:45-9:15 **WELCOME AND RECAP OF DAY 1 HIGHLIGHTS**

Deanna Garen
Senior Vice President,
Plan Sponsor Solutions
Prudential Retirement

9:15-10:15 **KEYNOTE SPEAKER:**

Raymond Martin, EA, CFP, CEBS
President and CEO
CitiStreet Advisors
CBS personal finance contributor appearing on The Early Show

10:00-10:30 **NETWORKING BREAK**
HOSTED BY: CITISTREET

10:30-11:30 **CASE STUDY:**
BENCHMARKING & ANALYZING FEES: ENSURING YOU GET WHAT YOU PAY FOR

Akzo Nobel brought in Blue Prairie Group to help it take a hard look at its plan fees and associated value. In this session, both the plan sponsor and the consultant will tell how they went about benchmarking and negotiating lower fees for the plan and how it all worked out.

Moderator:

Matt Gnabasik
Managing Director
Blue Prairie Group

Jaime Erickson
Manager, Defined Contribution Plans
Akzo Nobel

Mark Olsen
Senior Consultant
Blue Prairie Group

11:30-12:30

PROVIDERS IN THE HOT SEAT: Straight talk with service providers on industry trends, issues, concerns and opportunities

This panel of leading service providers will speak candidly on topics such as:

- How consolidation in the market is impacting relationships with plan sponsors and participants, and providers' own businesses
- How competition is driving pricing
- Their philosophies on fees for service
- Identifying positive & negative trends in the industry
- Things that keep them up at night
- Where they see the biggest business opportunities
- Their wish list for the DC landscape

Moderator:

Steve Charlton

Managing Partner
NEPC

Peter Kapinos

Senior Vice President
Putnam Investments

Kristi Mitchem

Head of US Defined Contribution, Managing Director
Barclays Global Investors

Robyn Alcorta

Executive Vice President
The 401(k) Company

Cynthia Hayes

Managing Director, Retirement Group
Merrill Lynch

Eric Levy

Worldwide Partner, Retirement Business Leader
Mercer

12:30-1:30

**LUNCHEON
HOSTED BY: OLD MUTUAL**

1:30 PM

CONFERENCE ADJOURNS